

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	D458680		2002-06-11	Engler	
	2	2296793		1942-09-22	Kirschbaum	
	3	2840070		1958-06-24	Tofflemire	
	4	4052980		1977-10-11	Grams et al.	
	5	4226228		1980-10-07	Shin et al.	
	6	4337763		1982-07-06	Petrassewicz	
	7	4562832		1986-01-07	Wilder et al.	
	8	4597030		1986-06-24	Brody et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

9	4784150	1988-11-15	Voorhies et al.	
10	4863133	1989-09-05	Bonnell	
11	4945896	1990-08-07	Gade	
12	5030220	1991-07-09	Howland	
13	5035232	1991-07-30	Lutze et al.	
14	5158821	1992-11-03	Hoover	
15	5176708	1993-01-05	Frey et al.	
16	5237985	1993-08-24	Hodgson et al.	
17	5345927	1994-09-13	Bonutti	
18	5353786	1994-10-11	Wilk	
19	5431153	1995-07-11	Lee	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

20	5451227		1995-09-19	Michaelson	
21	5496318		1996-03-05	Howland et al.	
22	5520611		1996-05-28	Rao et al.	
23	5558622		1996-09-24	Greenberg	
24	5569258		1996-10-29	Gambale	
25	5584831		1996-12-17	McKay	
26	5601590		1997-02-11	Bonutti et al.	
27	5645559		1997-07-08	Hachtman et al.	
28	5645599		1997-07-08	Samani	
29	5653713		1997-08-05	Michelson	
30	5690606		1997-11-25	Slotman	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

31	5725582		1998-03-10	Bevan et al.	
32	5755660		1998-05-26	Tyagi	
33	5836948		1998-11-17	Zucherman et al.	
34	5928139		1999-07-27	Koros et al.	
35	5928140		1999-07-27	Hardten	
36	5967971		1999-10-19	Bolser	
37	6042538		2000-03-28	Puskas	
38	6048342		2000-04-11	Zucherman et al.	
39	6053907		2000-04-25	Zirps	
40	6080105		2000-06-27	Spears	
41	6139493		2000-10-31	Koros et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

42	6142997		2000-11-07	Michelson	
43	6193651		2001-02-27	DeFonzo	
44	6193715		2001-02-27	Wrublewski et al.	
45	6196968		2001-03-06	Rydin et al.	
46	6210325		2001-04-03	Bartie et al.	
47	6228024		2001-05-08	Co et al.	
48	6235030		2001-05-22	Zucherman et al.	
49	6263133		2001-07-17	Hamm	
50	6322499		2001-11-27	Evans et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10593815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOLO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

1					
---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

## FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0669109	EP		1995-08-30	Sulzer Medizinaltechnik AG; Protek AG	Abstract	<input type="checkbox"/>
	2	9519149	WO		1995-07-20	Safir S.A.R.L.; Breard, Francis Henri		<input type="checkbox"/>
	3	2004004549	WO		2004-01-15	Aferzon, Joseph		<input type="checkbox"/>
	4	2004024011	WO		2004-03-25	Spinevision		<input type="checkbox"/>
	5	2005032358	WO		2005-04-14	Endius, Inc.		<input type="checkbox"/>
	6	2007060534	WO		2007-05-31	Calvosa Giuseppe, Tenucci Mina		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

## NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
--------------------	---------	---	----------------

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

1	"Orthopedic Spine Surgery: Cervical Spine," Regional Orthopedic Center, Poudre Valley Hospital, Fort Collins, Colorado, 18 pages, December 2002.	<input type="checkbox"/>
2	Davis et al., "Dynesys LIS Less Invasive Surgery, The Dynamic Stabilization System," Zimmer Spine Inc., 24 pages, 2005.	<input type="checkbox"/>
3	Humke et al., "Translaminar Screw Fixation of the Lumbar and Lumbosacral Spine," Spine, 23(10): 1180-1184, May 15, 1998.	<input type="checkbox"/>
4	Lu et al., "Translaminar Facet Screw Placement: an Anatomic Study," The American Journal of Orthopedics, XXVII(6): 550-555, August 1998.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.